

PTO/SB/01 (08-03)  
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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**

☐ Declaration  
Submitted With Initial  
Filing OR  
☒ Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number	18545US00
First Named Inventor	Roberto Pozzati
COMPLETE IF KNOWN	
Application Number	10/593,399
Filing Date	September 19, 2006
Art Unit	
Examiner Name	

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METAL VALVE STEM SEAL AND SEALING SYSTEM**

the specification of which (Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

09/19/2006

as United States Application Number or PCT International

Application Number 10/593,399 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
PCT/US2004/036827	PCT	11/05/04	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

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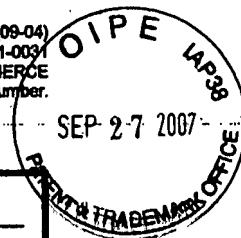
This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number <b>23446</b>				OR <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State		ZIP	
Country			Telephone		Fax
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.					
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any]) <b>Roberto</b>			Family Name or Surname <b>Pozzati</b>		
Inventor's Signature <i>Roberto Pozzati</i>			Date <b>18-07-2007 (July 18, 2007)</b>		
Residence: City <b>Busto Arsizio</b>		State		Country <b>Italy</b>	Citizenship <b>Italy</b>
Mailing Address <b>Via Mazzini, 5</b>					
City <b>Busto Arsizio</b>		State		Zip <b>21052</b>	Country <b>Italy</b>
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])			Family Name or Surname		
Inventor's Signature			Date		
Residence: City		State		Country	Citizenship
Mailing Address					
City		State		Zip	Country
<input type="checkbox"/> Additional inventors or a legal representative are being named on the __ supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.					



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Roberto Pozzati

Application No./Patent No.: 10/593,399

Filed/Issue Date: September 19, 2006

Entitled: METAL VALVE STEM SEAL AND SEALING SYSTEM

PetroValves, LLC

a corporation of Texas

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest

The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 019875 Frame 0524, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., a true copy of the original document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

DEVIN SLOANE

Printed or Typed Name

General Manager

Title

Date

323-717-7700

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## ASSIGNMENT

Attorney Docket No. 18545US00  
Inventor(s): Roberto Pozzati

Application Serial No. 10/593,399  
Filing Date: September 19, 2006

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned confirms his having assigned, and to the extent necessary do hereby assign, to **PetrolValves, LLC, a Texas corporation having a place of business at 6000 West Sam Houston Parkway North, Houston, Texas 77041**, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States filed in the U.S. Patent and Trademark Office on **May 2, 2007**, and assigned application **Serial No. 10/593,399**, entitled **"METAL VALVE STEM SEAL AND SEALING SYSTEM"**, and has also been identified as **Attorney Docket No. 18545US00** in the offices of McAndrews, Held & Malloy, Ltd., and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any continuation, continuation-in-part, divisional, reexamination, reissue or extension of such applications or patents, and confirm their having further assigned, and to the extent necessary do hereby further assign, to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Director of the U.S. Patent and Trademark Office to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this instrument the filing date and serial number of said application when officially known.

The undersigned has warranted himself to have been, and to the extent necessary hereby warrants himself to be, the owner of the entire right, title and interest in said invention or improvements, and to have had, and to the extent necessary to have, the right to make such assignment, and have further warranted, and to the extent necessary do hereby further warrant, that there are no outstanding prior assignments, licenses, or other encumbrances on the interest assigned.

*Rob Pozzati*



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*[Signature]*

For said considerations the undersigned confirms his agreement, and to the extent necessary does hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all non-provisional, continuation, continuation-in-part, divisional, extension, and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue, re-examination or extension of any Letters Patent that may be granted upon said application, and any and all applications and other document for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper, and have agreed, and to the extent necessary do hereby further agree, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and to perform any and all other acts necessary to obtain said Letters Patent, both United States and foreign, and vest all rights therein conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if such assignment had not been made.

SIGNED at GALLARATE (city), Italy, this 30 day of

July, 2007.



Roberto Pozzati

I hereby certify that before me personally appeared Roberto Pozzati to me known and known to me to be the individual described in the foregoing instrument, and that in my presence executed this instrument and who by me having been sworn did acknowledge that he executed the instrument as his free act and deed on the day and year aforesaid.

SEAL:

Notary Public

